

PAUL SCHERRER INSTITUT



Jens Gobrecht :: Leiter, Labor Mikro- und Nanotechnologie :: Paul Scherrer Institut

Additives Manufacturing für optische 3D-Mikrostrukturen

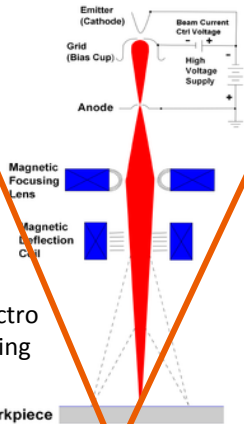
Trends in Micro Nano, 1. Sept. 2016, Buchs SG

- Motivation
- Additive manufacturing im (sub-)Mikrometerbereich
- Problem Oberflächenrauigkeit und Reflow-Verfahren
- Beispiele
- Zusammenfassung

- Mikrooptische Strukturen (Linsen, Prismen, Gitter, Wellenleiter oder Kombinationen davon) finden immer Zahlreichere Anwendungen.
- Transparente Kunststoffe bieten sich aufgrund günstiger Kosten und guter Verarbeitbarkeit als Materialien an.
- Additive Fertigungsverfahren («3-D-Druck») stehen heute auch für diese Materialien und den Submikrometerbereich zur Verfügung
- Häufig genügt jedoch die Oberflächenqualität noch nicht den Anforderungen in der Optik

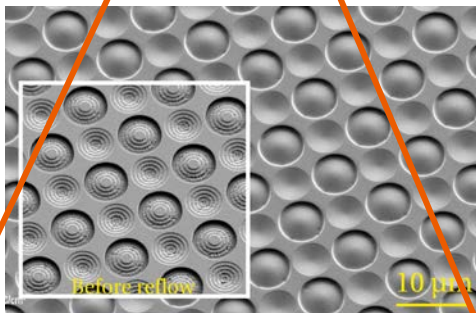
Fabrication techniques for “3D structures”

E-beam lithography

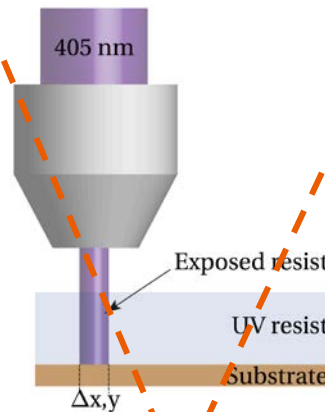


wikipedia.org/Electron_beam_processing

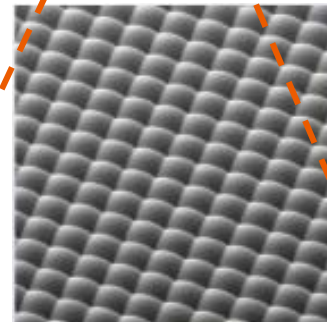
- Very high resolution
- Good stitching
- Long writing times
- Tall features not possible
- Charging effects



Direct Laser Exposure

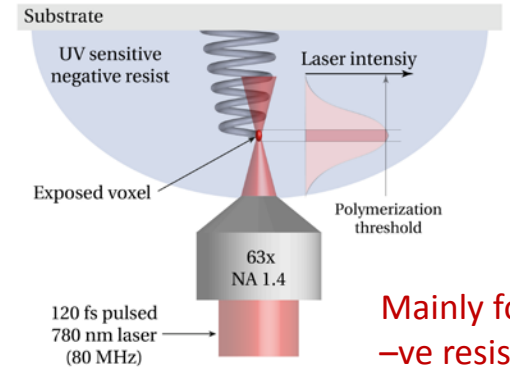


- OK resolution
- Good stitching
- Fast writing times
- Tall features not possible
- No substrate effect



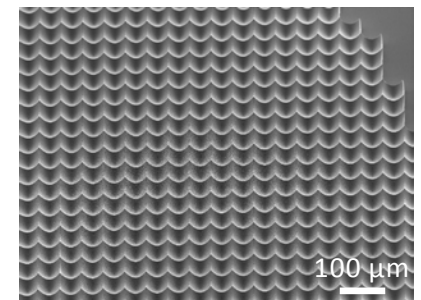
MLA, 20 μm height, 100 μm pitch

2 photon polymerization



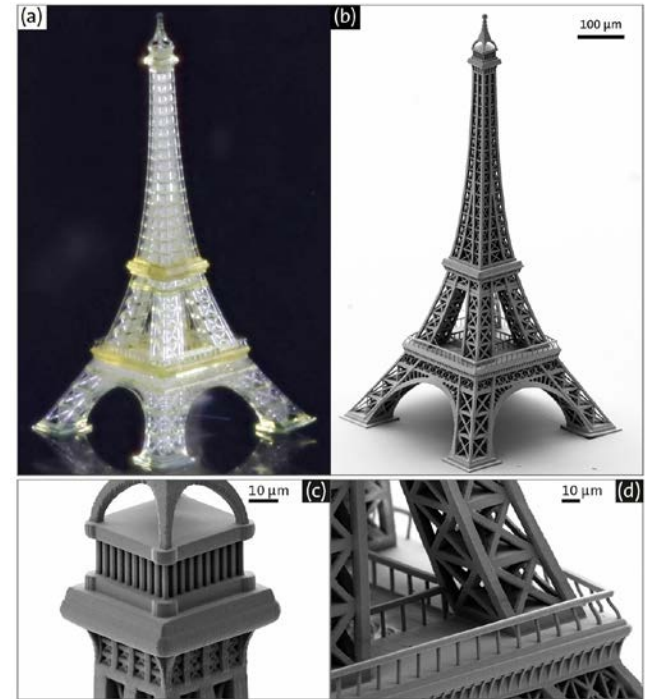
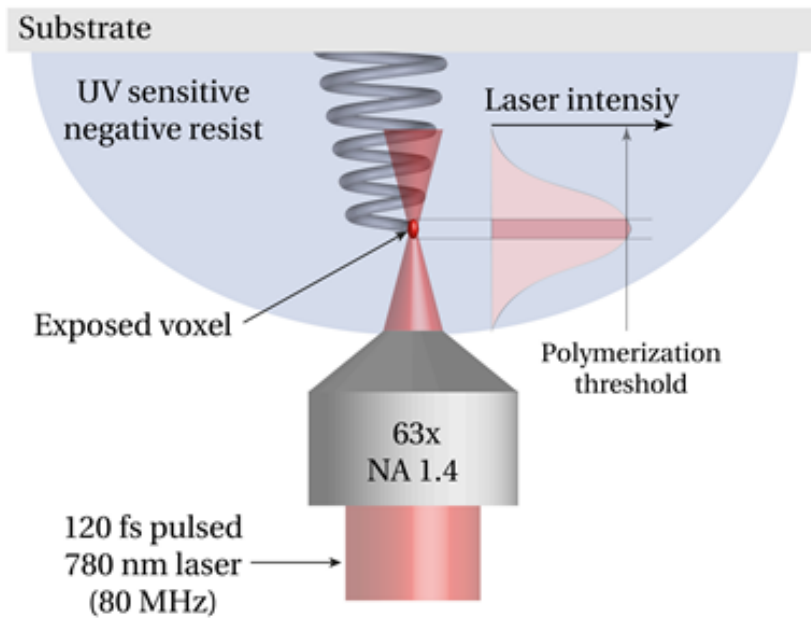
Mainly for -ve resists

- Good resolution
- Good stitching
- Very slow writing times
- Tall features possible
- No substrate effect

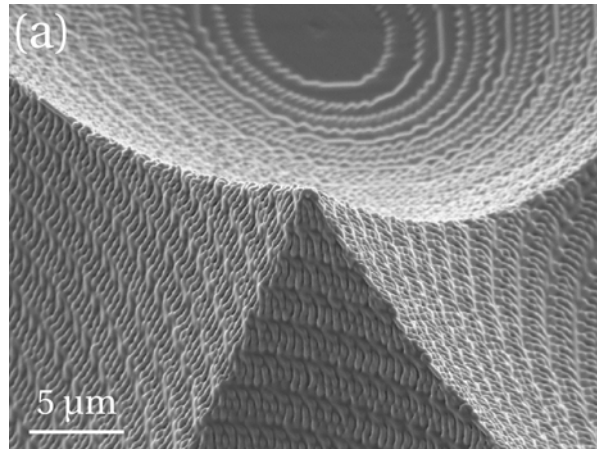


100 μm

2 Photon Polymerization Technique



Surface roughness may be a problem in optical applications



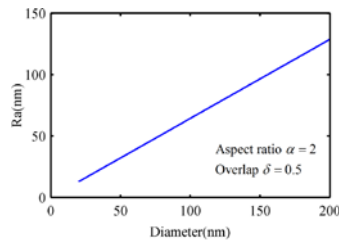
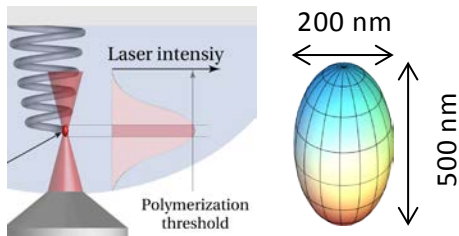
www.nanoscribe.de



But.... Roughness concern in 2PP

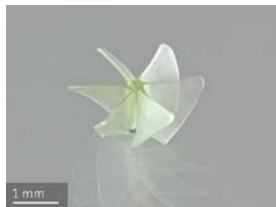
Voxel size

- Polymerization threshold and laser dose



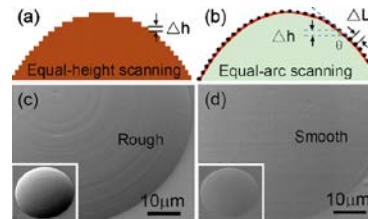
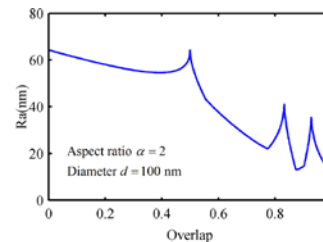
- Long writing times
- **Very small head room**
- **Increasing voxel size???**
- **No shape change**

IPS resist, photo initiator diffusion higher



Writing strategies

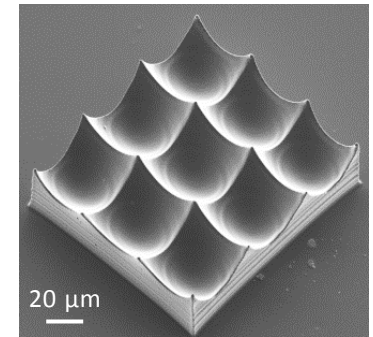
- Increasing the voxel overlap
- Variable height slicing
- Arc scanning



- Long writing times
- **Limited process window**
- **Shifting from Δz to Δx**
- **No shape change**

Post processing

- Reflow of resist
- Replication might be required



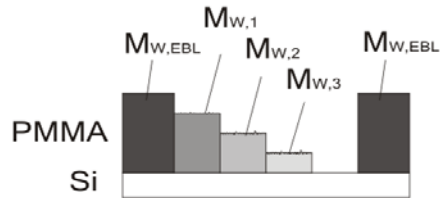
- **Short writing time**
- **Huge process window**
- **Tricky for undercuts & side walls**

Application Example: Microoptics

Mastering Technology: Thermal post-processing of 3-D resist pattern

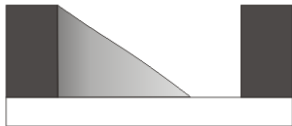
PMMA resist layer (500 nm high) after development

resist pattern /
molecular weights

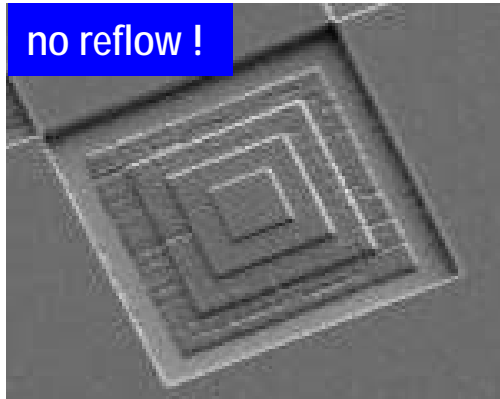


$M_{w,EBL} \gg M_{w,1-3}$

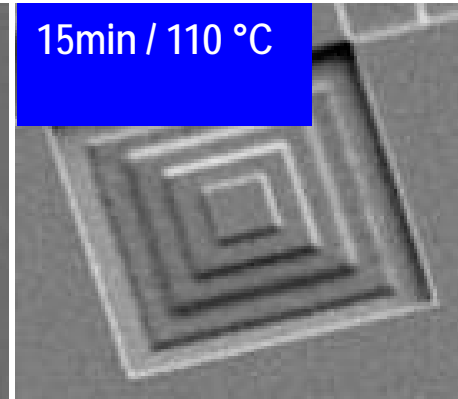
reflow



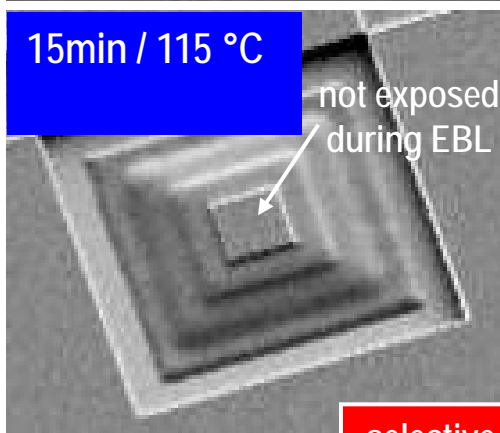
no reflow !



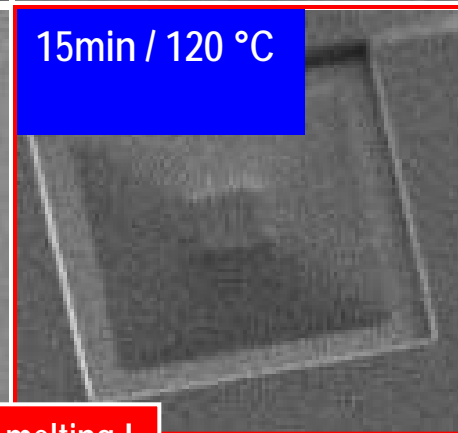
15min / 110 °C



15min / 115 °C



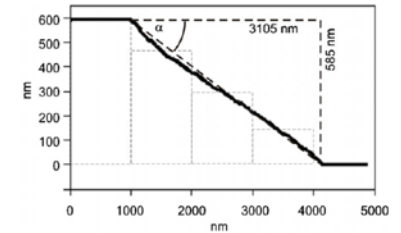
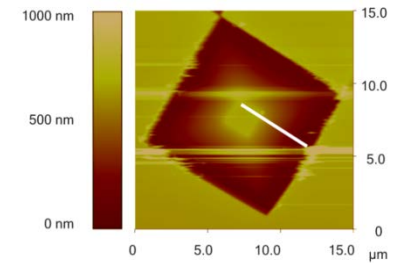
15min / 120 °C



selective melting !

2 μm

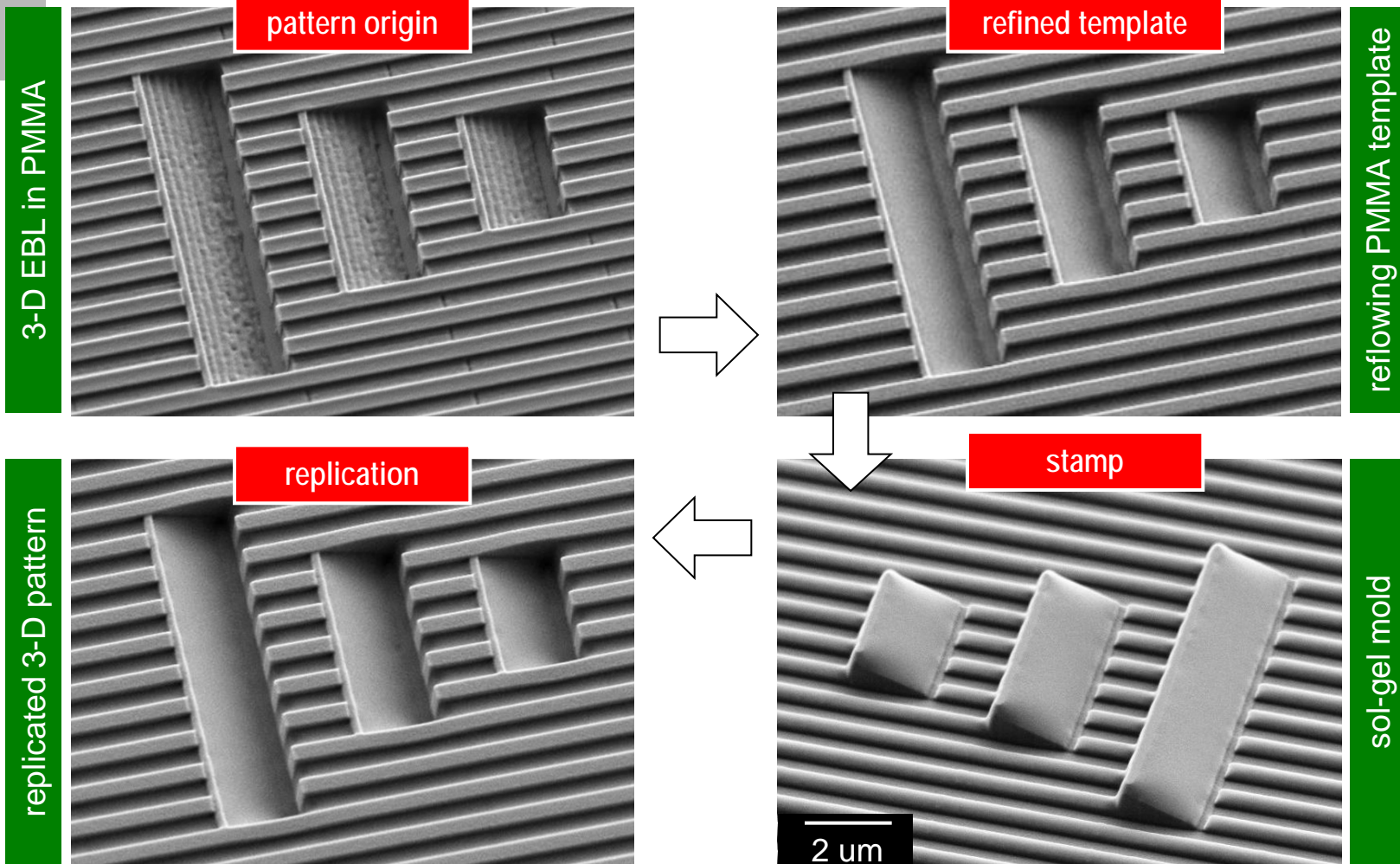
AFM analysis



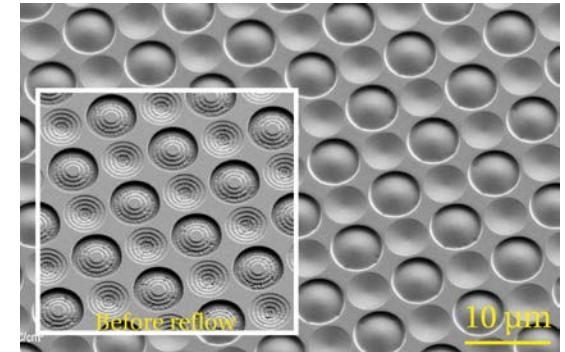
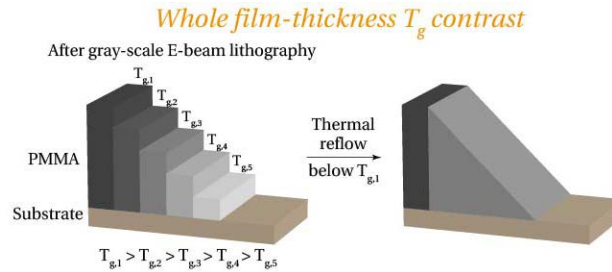
slope inclination
~ 11 °

Mass replication using nanoimprint lithography (NIL)

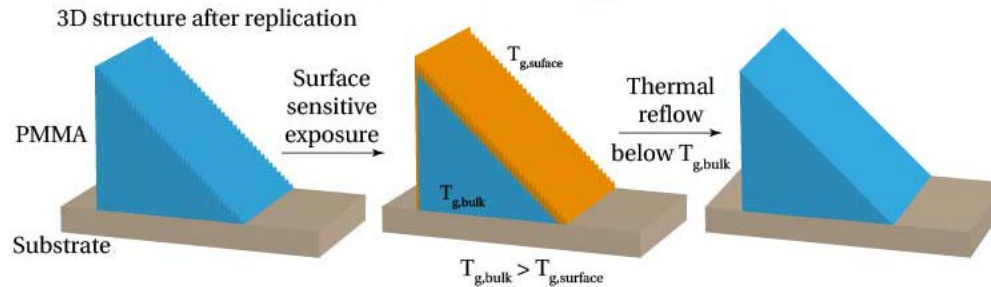
Pattern are copied into a sol-gel material and repeatedly replicated into a polymer



Surface sensitive damage



Surface selective T_g contrast



A. Schleunitz NC 2014

High energy
E-beam

High damage

Ambient
conditions

Low energy
E-beam

Tedious/Vacuum

Ion beam (O_2
or Ar plasma)

Etching
technique

Vacuum process

285 nm UV
Ozone

Larger
penetration
depths

Ambient
conditions

172 nm
VUV

Reasonable
penetration (100-
200 nm)

Ambient
conditions

13.6 nm
EUV

Small
penetration (100
nm)

Vacuum process

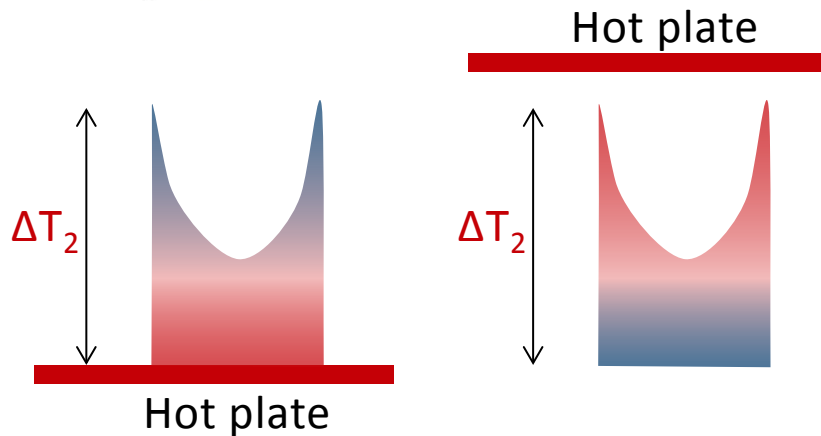
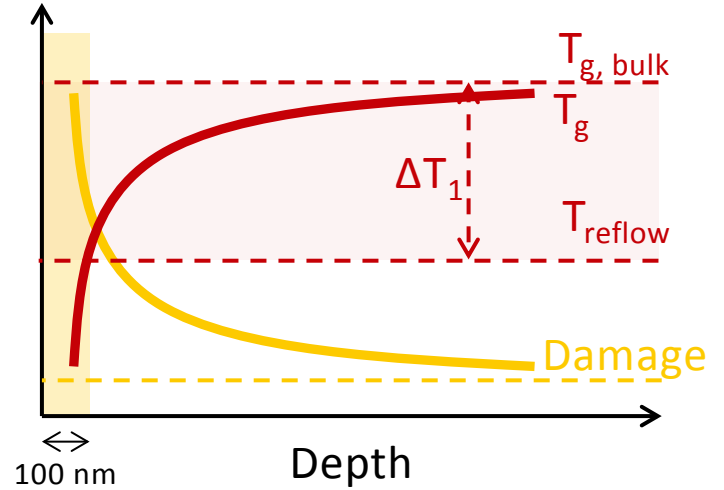
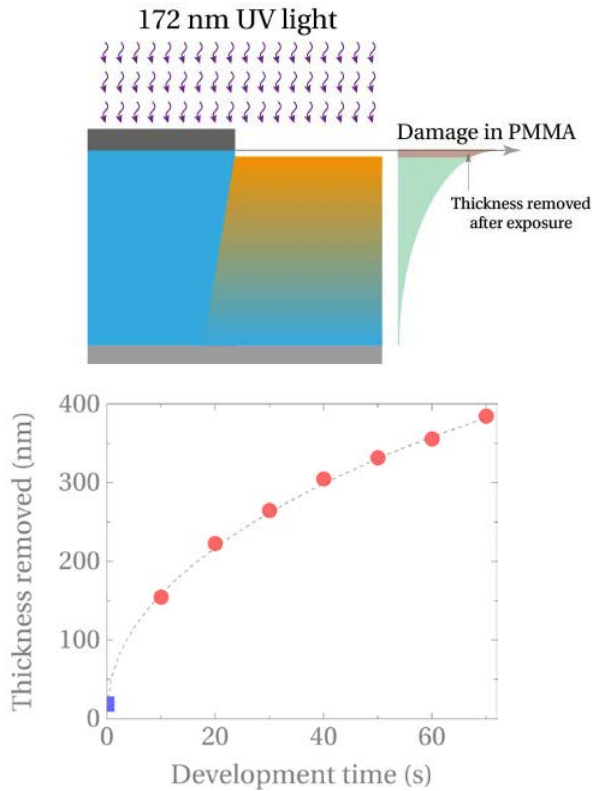
Expensive

1 nm Soft
X-Rays

Large
penetration (100
 μm)

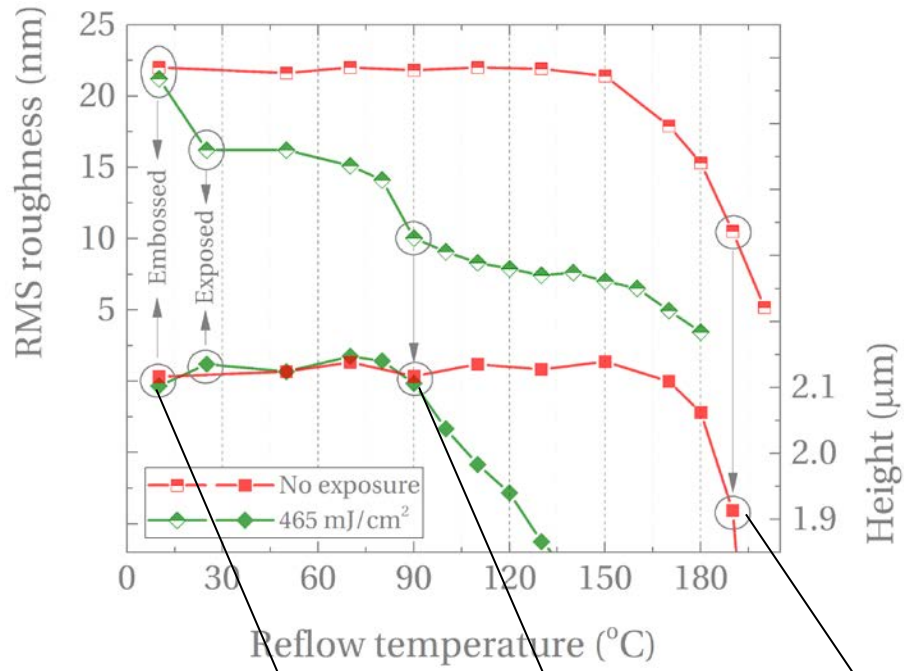
Ambient
conditions

Surface sensitive damage: Process window

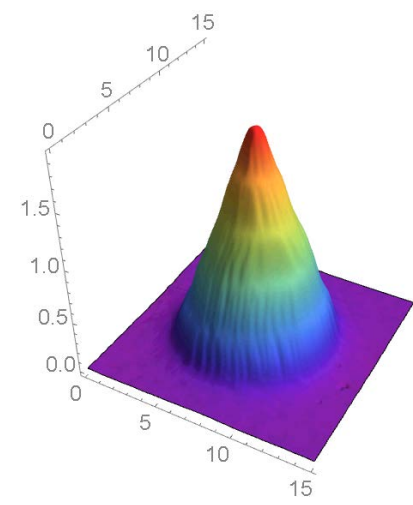
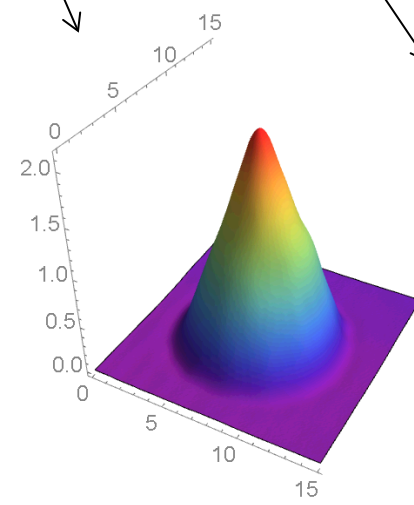
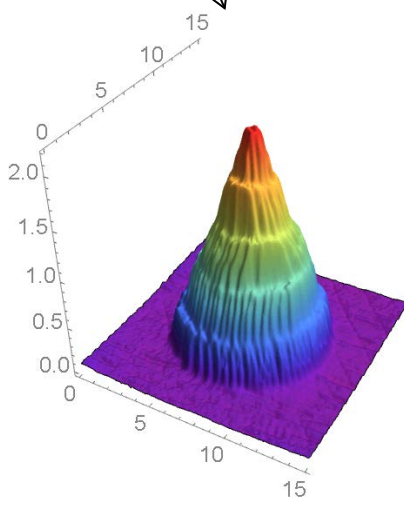
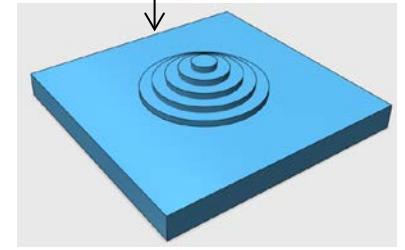


Process latitude = $\Delta T_1 - \Delta T_2$

Smoothing: exposed & reflow (PMMA)



2 µm tall AFM test structures were transferred for roughness measurement



Application example: Micro lens array

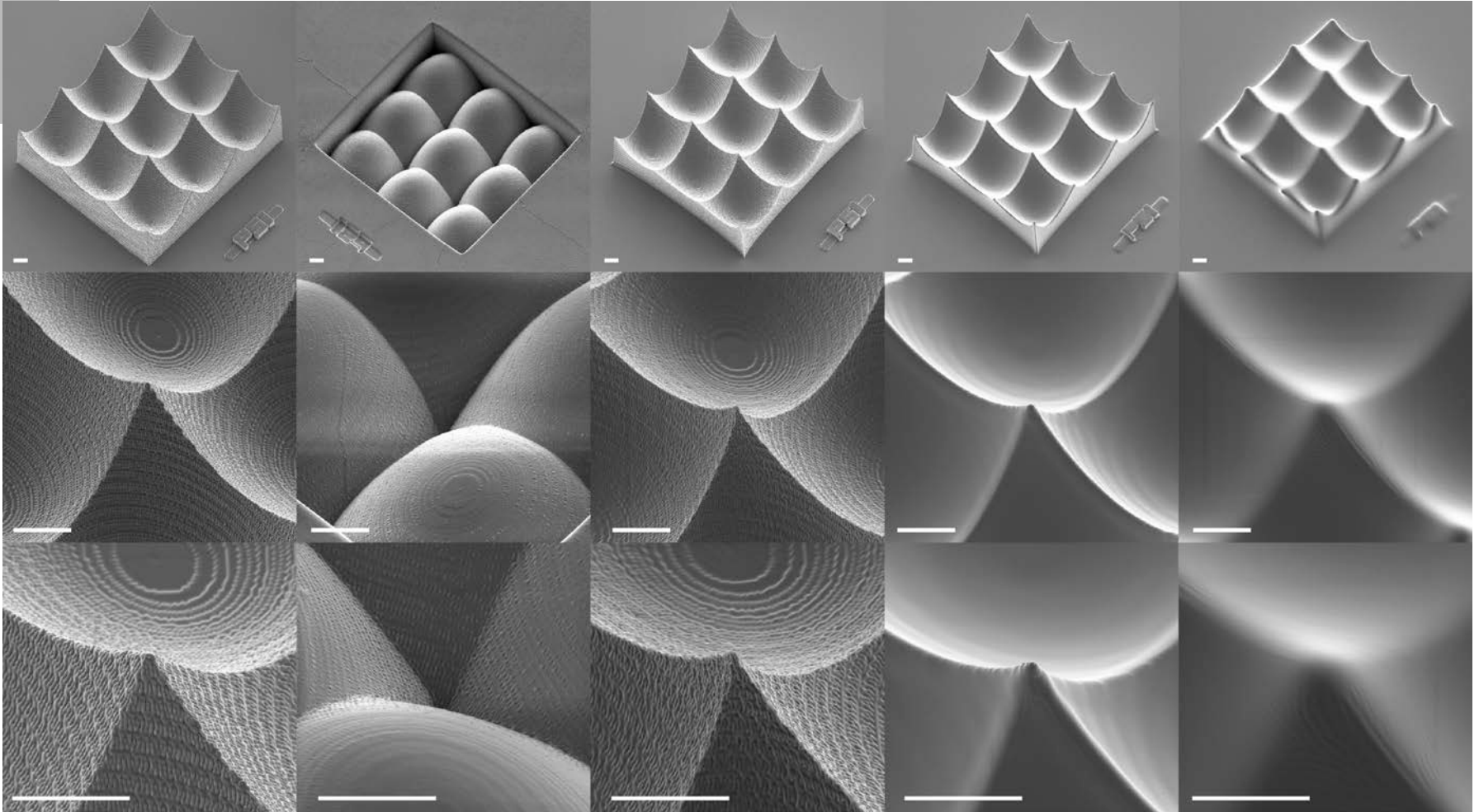
IpDip

UV PDMS

PMMA

Reflow (exp)

Reflow (no exp)



Scale = 10 μm

Application example II: Micro prism array

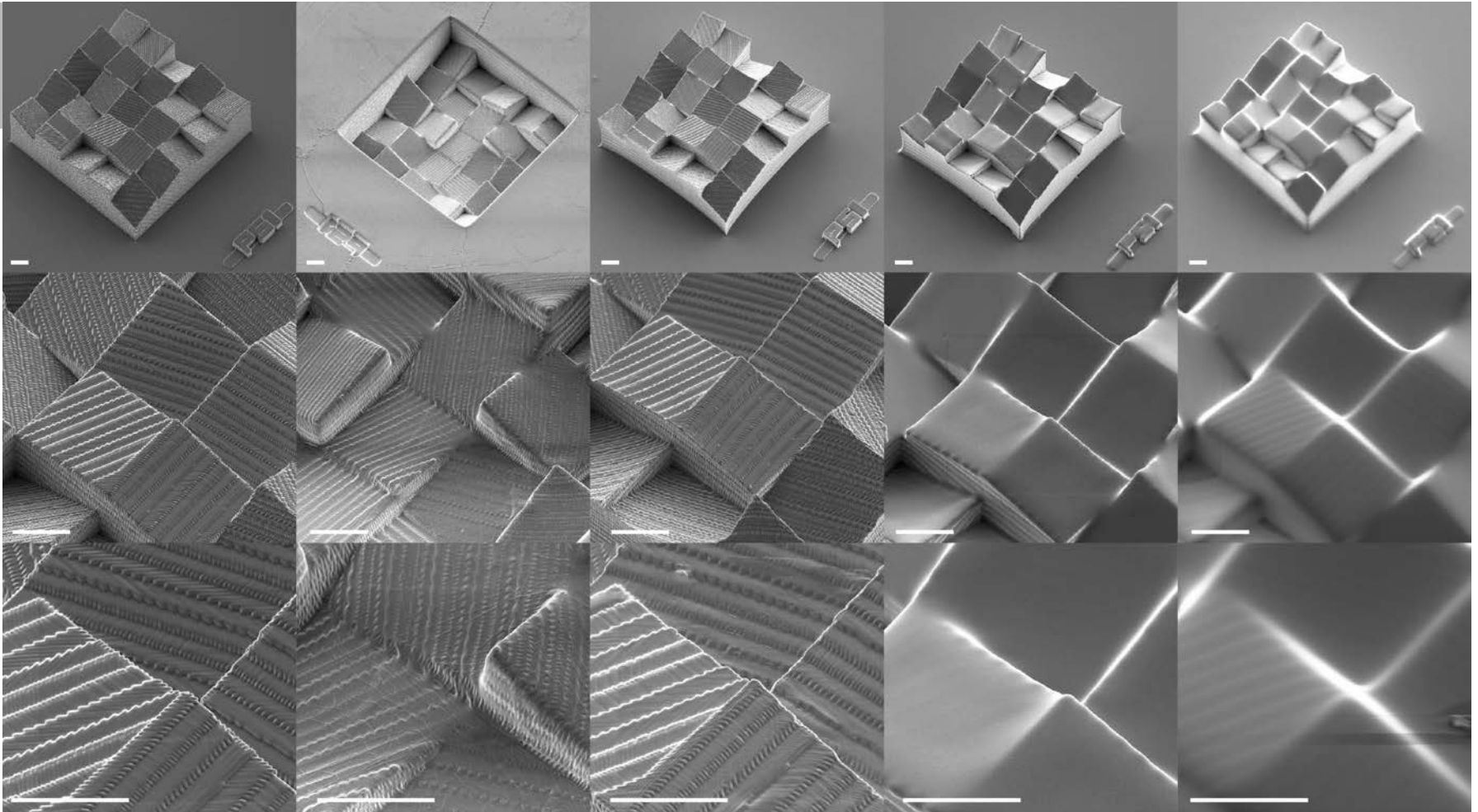
IpDip

UV PDMS

PMMA

Reflow (exp)

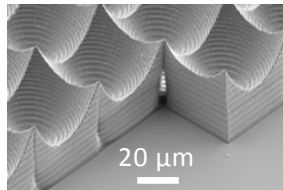
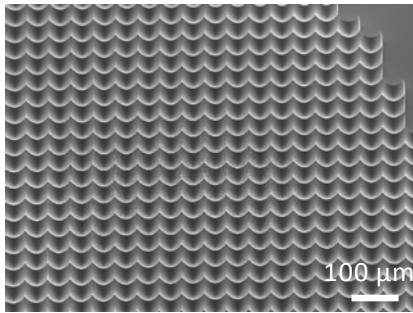
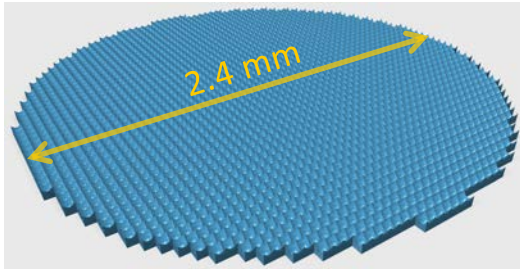
Reflow (no exp)



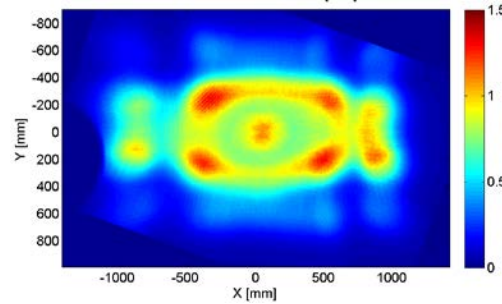
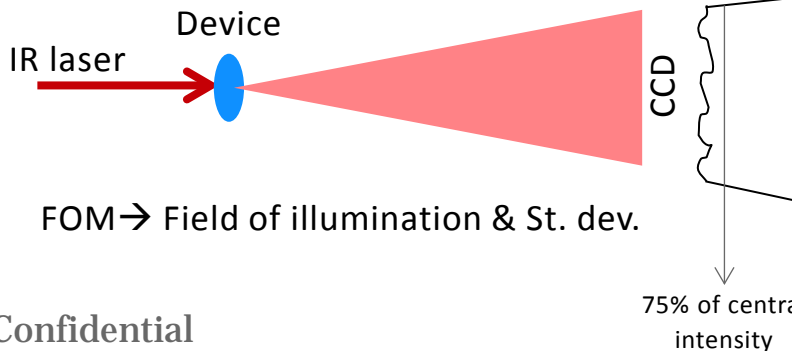
Scale = 10 μ m

Microlens-array device (48 hours writing)

Master fabrication



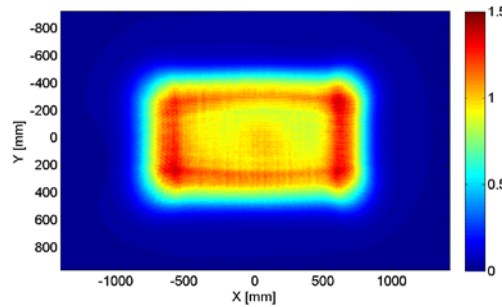
Optical measurement setup



IpDip Master

x-FOI (75%) = 61.5°

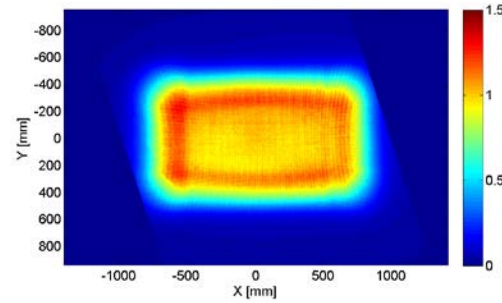
Sigma = 10%



PMMA as embossed

x-FOI (75%) = 75°

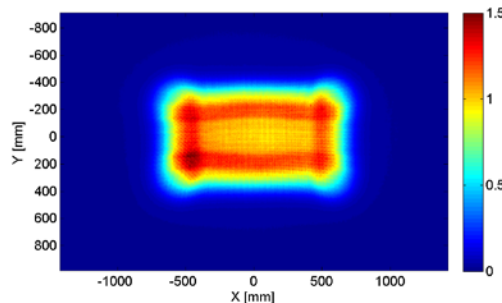
Sigma = 10%



PMMA exp+reflow

x-FOI (75%) = 74.5°

Sigma = 5%



PMMA no exp reflow

x-FOI (75%) = 63.2°

Sigma = 7%

Summary and conclusions

- Additive manufacturing (3-D-printing) is ready for applications in micro-optics
- Prototypes for development and master-structures for mass replication can be made
- A simple reflow process warrants the required surface quality for optics

My thanks go to

- Dr. N. Chidambaram, PSI
- Dr. R. Kirchner, PSI
- Dr. H. Schiff, PSI
- M. Altana, Heptagon Oy, Zürich

- Part of project is funded through Swiss Nanoscience Institute, Basel

